

UNITED STATES PATENT & TRADEMARK OFFICE

Examiner: Unknown Art Unit: Unknown

Re: Application of: YOON, Sung, June, et. al.

Serial No.: To be assigned

Filed: herewith

For: **OPTICALLY ACTIVE QUINOLINE CARBOXYLIC
ACID DERIVATIVES HAVING 7-PYRROLIDINE
SUBSTITUTES CAUSING OPTICAL ACTIVITY
AND A PROCESS FOR PREPARING THEREOF**

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

November 16, 2001

Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, Applicants herewith submit forms PTO-1449, which list references cited in the International Search Report (ISR) dated September 4, 2000 and of which Applicants are aware for the present application.

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the documents called to the Examiner's attention herein, Applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicants reserves the right to contend, where appropriate, that a patent asserted against any claim of the present application is not prior art under the facts and the law.

Applicants also reserve the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicants request that the Examiner independently determine those items which the Examiner would consider the most pertinent of all the references cited herein.

This Information Disclosure Statement is filed with the application and is therefore within three (3) months of the filing date of this application. Accordingly, this document complies with the requirements of 37 C.F.R. Section 1.97(b). It is respectfully requested that the references cited in the accompanying PTO-1449 forms be considered and made of record.

Respectfully submitted,

ROBERTS & MERCANTI, L.L.P.



Sapna Gadhia

Registration No. 48,978

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ROBERTS & MERCANTI, L.L.P.

By:


Sapna Gadhia

UNITED STATES PATENT & TRADEMARK OFFICE

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Application of: YOON, Sung, June, et. al.

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SUBSTITUTES CAUSING OPTICAL ACTIVITY AND
A PROCESS FOR PREPARING THEREOF**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

June 20, 2003

Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, Applicants herewith submit copies of the Information Disclosure Statement, Forms PTO-1449, Form PTO-892, International Search Report and International Preliminary Examination Report corresponding to the parent application, U.S. Patent Application Serial No. 09/979,644, filed November 16, 2001.

Copies of the references have previously been submitted with the corresponding application and therefore are not being transmitted to the Examiner at this time. Applicants will, however, furnish copies of those references requested by the Examiner.

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the U.S. patents called to the Examiner's attention herein, Applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicants reserve the right to contend, where appropriate, that a patent asserted against any claim of the present application is not prior art under the facts and the law.

Applicants also reserve the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicants request that the Examiner independently determine those items which the Examiner would consider the most pertinent of all the references cited herein.

This Information Disclosure Statement is filed with the application and is therefore within three (3) months of the filing date of this application. Accordingly, this document complies with the requirements of 37 C.F.R. Section 1.97(b).

It is respectfully requested that these references be considered and made of record.

Respectfully submitted,

MUSERLIAN, LUCAS & MERCANTI, L.L.P.


Sapna Gadhia

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Date of Deposit: June 20, 2003 June 20, 2003

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MUSERLIAN, LUCAS & MERCANTI, L.L.P.

By: 
Sapna Gadhia

INFORMATION DISCLOSURE CITATION*(Use several sheets if necessary)*

Docket Number (Optional)

428.1010

Application Number

To be assigned

Applicant(s)

YOON, S.J., et al.

Filing Date

Herewith

Group Art Unit

Unknown

*EXAMINER
INITIALOTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

AE

Stinson, S.C., "Counting on Chiral Drugs", C&EN, September 21, 1998, pages 83-104.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Notice of References Cited

Application No.

09/979,644

Applicant(s)

Xu et al

Examiner

Ho Morris

Group Art Unit

1625

Page 1 of 1

U.S. PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A		5,276,041	1/1994	Nakano et al	514	314
B		5,633,262	5/1997	Hong et al	514	300
C						
D						
E						
F						
G						
H						
I						
J						
K						
L						
M						

FOREIGN PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N							
O							
P							
Q							
R							
S							
T							

NON-PATENT DOCUMENTS

*		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U		Hyun et al II, "Separation of the stereoisomers, etc" (CA 134: 33080 (2000))	
V		Hyun et al II, "Liquid chromatographic resolution, etc" (CA 134: 65629 (2000)).	
W			
X			

* A copy of this reference is not being furnished with this Office action.
(See Manual of Patent Examining Procedure, Section 707.05(a).)